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THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named  
Inventor : Jeong-Min Lee

Appln. No. : 10/592,028

Filed : September 7, 2006

For : STRUCTURE OF CAP HAVING  
STORAGE SPACE

Docket No.: L69.12-0006

Group Art Unit:

Examiner:

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I HEREBY CERTIFY THAT THIS PAPER IS  
BEING SENT BY U.S. MAIL, FIRST CLASS,  
TO THE COMMISSIONER FOR PATENTS,  
P.O. BOX 1450, ALEXANDRIA, VA 22313-  
1450, THIS

3 DAY OF Dec, 20

PATENT ATTORNEY

Sir:

The patents or publications listed on the enclosed PTO Form-1449 are submitted pursuant to 37 C.F.R. § 1.97. Copies of the patents or publications cited are enclosed, except as waived by the Official Gazette notice of August 5, 2003 regarding copies of U.S. Patents and Published Applications.

**TIME OF FILING**

An information disclosure statement is being filed by the applicant within any one of the following time periods:

1. X 1. Within three month of the filing date of a national application other that a Continued Prosecution Application (CPA);
2. Within three months of the date of entry of the National Stage international application;
3. Before the mailing date of a first Office Action on the merits, or
4. Before the mailing of a first Office Action after the filing of a Request for Continue Examination (RCE).

2.    after the time period specified in paragraph 1 above, but before the mailing date of a final

action under 37 C.F.R. § 1.113 or notice of allowance under 37 C.F.R. § 1.311.

Therefore, in accordance with 37 C.F.R. § 1.97(c), submitted herewith is:

(check either A or B below)

- A. ☐ a statement as specified in 37 C.F.R. § 1.97(e).
  - B. ☐ the fee set forth in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c).
3. ☐ after the mailing date of either a final action under 37 C.F.R. § 1.113 or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, but before payment of the issue fee. Therefore, Applicant petitions for consideration and submits herewith:
- A. a statement as specified in 37 C.F.R. § 1.97(e);
  - B. the petition fee set forth in 37 C.F.R. § 1.17(p).

#### METHOD OF PAYMENT

- ☒ No fee is required.
- ☐ Attached is a check in the amount of \$\_\_\_.

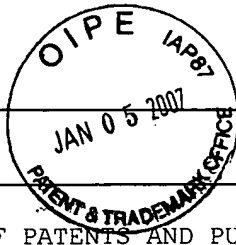
The Director is authorized to charge any fee deficiency required by this paper or credit any overpayment to Deposit Account No. 23-1123. A duplicate copy of this communication is enclosed.

Respectfully submitted,

WESTMAN, CHAMPLIN & KELLY, P.A.

By: 

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|   |                                  |                          |
|---|----------------------------------|--------------------------|
| FORM PTO-1449   | Atty. Docket No.:<br>L69.12-0006 | Appl. No.:<br>10/529,028 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT | First Named Inventor:            |                          |
|   | Jeong-Min Lee                    |                          |
|   | Filing Date                      | Group Art:               |
|   | September 7, 2006                |                          |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document No. | Date     | Name  | Class | Sub<br>Class | Filing Date<br>If Appropriate |
|---------------------|--------------|----------|-------|-------|--------------|-------------------------------|
| AA                  | 4,667,818    | May 1987 | Evans | 206   | 219          |                               |
| AB                  | 4,513,861    | Apr 1985 | Baram | 206   | 219          |                               |
| AC                  |              |          |       |       |              |                               |
| AD                  |              |          |       |       |              |                               |
| AE                  |              |          |       |       |              |                               |
| AF                  |              |          |       |       |              |                               |
| AK                  |              |          |       |       |              |                               |

## FOREIGN PATENT DOCUMENTS

|    | Document No.   | Date          | Country | Class | Sub<br>Class | Translation<br>Yes No |
|----|----------------|---------------|---------|-------|--------------|-----------------------|
| AL | WO 2005/049430 | June 2, 2005  | WIPO    |       |              | X                     |
| AM | 0 077 556      | Oct 18, 1982  | EPO     |       |              | X                     |
| AN | 0 093 090      | April 6, 1983 | EPO     |       |              | X                     |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| AO | "A Closure for Bottles and the Like of the Type including a Breakable Bottom Reservoir to Break During Use.",<br><a href="http://v3.espacenet.com/textdoc?DB=EPODOC&amp;IDX=EP0093090&amp;F=0">http://v3.espacenet.com/textdoc?DB=EPODOC&amp;IDX=EP0093090&amp;F=0</a> , one page, 12/12/06. |
| AP | International Search Report, PCT/KR2005/000641, 2 pages.   |
| AQ |  |

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.